

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
0112300-1846

In Re Application Of: Baerlocher et al.

Serial No.  
10/734,307Filing Date  
December 12, 2003Examiner  
UnknownGroup Art Unit  
3765

Title: GAMING DEVICE HAVING AN INDICATOR SELECTION WITH PROBABILITY-BASED OUTCOME

Address to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

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10/734,307Filing Date  
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3765**GAMING DEVICE HAVING AN INDICATOR SELECTION WITH PROBABILITY-BASED OUTCOME****Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **02-1818** as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

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Signature


Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**I certify that this document and fee is being deposited on **April 19, 2004** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature of Person Mailing Correspondence

Renee Street

Typed or Printed Name of Person Mailing Certificate

**\*This certificate may only be used if paying by deposit account.**

Signature

Dated: April 19, 2004

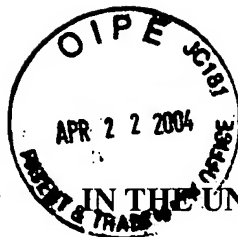
Adam H. Masia (Reg. No. 35,602)

BELL, BOYD &amp; LLOYD LLC

P. O. Box 1135

Chicago, Illinois 60690-1135

CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Baerlocher, et al.  
Appl. No.: 10/734,307  
Conf. No.: 1678  
Filed: December 12, 2003  
Title: GAMING DEVICE HAVING AN INDICATOR SELECTION WITH  
PROBABILITY-BASED OUTCOME  
Art Unit: 3765  
Examiner: Unknown  
Docket No.: 0112300-1846

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which can be found in the parent, application no. 09/990,693, filed on November 9, 2001, be made during the course of examination of the above-identified application for United States patent.

U.S. PATENT DOCUMENTS

<u>Document No.</u>	<u>Date</u>	<u>Inventor</u>
4,182,515	January 8, 1980	Nemeth
4,624,459	November 25, 1986	Kaufman
4,991,848	February 12, 1991	Greenwood et al.
5,116,055	May 26, 1992	Tracy
5,456,465	October 10, 1995	Durham
5,542,669	August 6, 1996	Charron et al.
5,711,525	January 27, 1998	Breeding
5,833,538	November 10, 1998	Weiss
5,851,147	December 22, 1998	Stupak et al.
5,873,781	February 23, 1999	Keane
6,015,346	January 18, 2000	Bennett
6,089,976	July 18, 2000	Schneider et al.

6,102,798	August 15, 2000	Bennett
6,155,925	December 5, 2000	Giobbi et al.
6,159,097	December 12, 2000	Gura
6,159,098	December 12, 2000	Slomiany et al.
6,190,255 B1	February 20, 2001	Thomas et al.
6,261,177 B1	July 17, 2001	Bennett
6,315,664 B1	November 13, 2001	Baerlocher et al.

#### **FOREIGN PATENT DOCUMENTS**

<u>Document No.</u>	<u>Date</u>	<u>Country</u>
EP 0 874 337 A1	October 28, 1998	Demar et al.
EP 0 945 837 A2	September 29, 1999	Thomas et al.
EP 0 984 409 A2	March 8, 2000	Thomas et al.

#### **OTHER DOCUMENTS**

Welcome To Video Reality Brochure written by Atronic Casino Technology Ltd. published in 1995.

Jackpot Party Brochures and Articles written by WMS Gaming Inc. published in 1998 and 2001.

High Low Card Game written by Qeocities.com (website) printed 5/3/01.

Double Up Poker Game Description written by IGT published prior to 2001.

In Between Game Description written by IGT published prior to 2001.

Applicants look forward to early and favorable consideration of this matter.

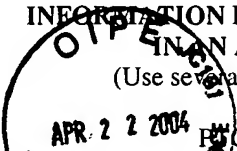
Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY 

Adam H. Masia  
Reg. No. 35,602  
P.O. Box 1135  
Chicago, Illinois 60690-1135  
Phone: (312) 807-4284

Date: April 19, 2004

<b>INTEGRATION DISCLOSURE CITATION IN AN APPLICATION</b> (Use several sheets if necessary)  PTO Form 1449	Atty Docket No. 0112300-7846	Application No. 10/734,307
	Applicant Baerlocher et al.	
	Filing Date December 12, 2003	Group 3765

U.S. PATENT DOCUMENTS						
Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate
	4,182,515	January 8, 1980	Nemeth			
	4,624,459	November 25, 1986	Kaufman			
	4,991,848	February 12, 1991	Greenwood et al.			
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	6,261,177 B1	July 17, 2001	Bennett			
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						Yes	No
	EP 0 874 337 A1	October 28, 1998	Demar et al.				
	EP 0 945 837 A2	September 29, 1999	Thomas et al.				
	EP 0 984 409 A2	March 8, 2000	Thomas et al.				

Examiner:	Date Considered:
*Examiner: Initial if citation considered, whether or not citation is in conformance with PEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

<b>INFORMATION DISCLOSURE CITATION</b> <b>EXAMINATION APPLICATION</b> (Use several sheets if necessary) APR 22 2004 PTO Form 1449	Atty Docket No. 0112300-7846	Application No. 10/734,307
	Applicant Baerlocher et al.	
	Filing Date December 12, 2003	Group 3765

Examiner's Initials	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)
	Welcome To Video Reality Brochure written by Atronic Casino Technology Ltd. published in 1995.
	Jackpot Party Brochures and Articles written by WMS Gaming Inc. published in 1998 and 2001.
	High Low Card Game written by Qeocities.com (website) printed 5/3/01.
	Double Up Poker Game Description written by IGT published prior to 2001.
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Examiner:	Date Considered:
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